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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/796,276	03/10/2004	Kazuaki Sakurada	SE-US045044

CONFIRMATION NO. 9385

22919
SHINJYU GLOBAL IP COUNSELORS, LLP
1233 20TH STREET, NW, SUITE 700
WASHINGTON, DC 20036-2680

FORMALITIES LETTER



OC000000012827627

Date Mailed: 06/01/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

RECEIVED

JUN - 3 2004



Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The application was filed in a language other than English. Applicant is required to provide an English translation of the specification and a statement that the translation is accurate. (See 37 CFR 1.52(d)).
- Applicant must file an English translation of the application, the \$ 130 fee set forth in 37 CFR 1.17(i), unless unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).
- Because your specification was filed in a language other than English, the Office was unable to determine the number of claims submitted. Additional claim fees may be due once the number of claims can be determined.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Replacement drawings in compliance with 37 CFR 1.84 and 37 CFR 1.121 are required. The drawings submitted are not acceptable because:

07/29/2004 CNGUYEN 00000022 10796276

01 FC:1201	172.00 OP
02 FC:1051	130.00 OP
03 FC:1053	130.00 OP

- The drawing figures contain text that is not in English (including, for example, a flow chart that was originally not in English that has been marked up to include the English text) (see 37 CFR 1.84(p)(2) and 37 CFR 1.52(d)(1)). See Figure(s) 1-28.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is **\$1030** for a Large Entity

- **\$770** Statutory basic filing fee.
- **\$130** Late oath or declaration Surcharge.
- **\$130** for English translation surcharge required.

Replies should be mailed to: Mail Stop Missing Parts
Commissioner for Patents
P.O. Box 1450
Alexandria VA 22313-1450

*A copy of this notice **MUST** be returned with the reply.*

Y.G.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY



SE-US045044

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Kazuaki SKURADA

Serial No.: 10/796,276

Filed: March 10, 2004

For: APPARATUS AND METHOD
FOR FABRICATING FUNCTIONAL FILM:

Attn.: BOX MISSING PARTS

COMPLETION OF APPLICATION UNDER 37 CFR §1.53

BOX MISSING PARTS

Assistant Commissioner of Patents
Washington, DC 20231

Sir:

In response to the Communication dated Jun 1, 2004, copy attached, submitted herewith are:

- [X] An executed Declaration and Power of Attorney.
- [X] An Assignment of the invention to Seiko Epson Corporation.
- [X] English translation of the specification and drawings with a statement that the translation is accurate.
- [X] A check in the amount of \$1242.00 is attached to cover the filing fee of \$770.00, the additional independent claim fee for \$172.00, the surcharge fee of \$130.00, the translation fee of \$130.00 and the Assignment recording fee of \$40.00.
- [X] The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No.: 50-1836. A duplicate copy of this sheet is attached.
 - [X] Any additional patent application processing fees under 37 CFR 1.16.
 - [X] Any additional patent application processing fees under 37 CFR 1.17.

Repln. Ref: 07/29/2004 CNGUYEN 0010593800
DA#:501836 Name/Number:10796276
FC: 9204 \$770.00 CR

Respectfully submitted,

Yoshio Miyagawa
Reg. No. 43,393

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Dated: Jul/28/04

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